

☐ Yes      ☐ No

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

\_\_\_\_\_  
(Application Number)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Application Number)

\_\_\_\_\_  
(Filing Date)

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

PCT/US99/24157

October 14, 1999

Pending

\_\_\_\_\_  
(Application Number)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status -- patented, pending, abandoned)

\_\_\_\_\_  
(Application Number)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status -- patented, pending, abandoned)

**POWER OF ATTORNEY:** I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

8 John S. Ferrell, Reg. No. 34,593; J. Eppa Hite, Reg. No. 30,266;  
Gregory J. Koerner, Reg. No. 38,519; Charles B. Katz, Reg. No. 36,564;  
John D. Henkhaus, Reg. No. 42,656; Susan Yee, Reg. No. 41,388;  
Robert Toczycki, Reg. No. 38,341 and Aaron Wininger, Reg. No. 45,229.

**SEND ALL CORRESPONDENCE TO:**

Aaron Wininger  
CARR & FERRELL LLP  
2225 East Bayshore Road, Suite 200  
Palo Alto, CA 94303  
TEL: (650) 812-3400  
FAX: (650) 812-3444

[illegible]

Atty. Dkt.No. PA1317US

Applicant: Lynn Spraggs  
PCT International Serial No.: PCT/US99/24157  
PCT Filed: October 14, 1999  
US Serial No. Unknown  
For: System and Method of Authenticating a Key and Transmitting Secure Data

VERIFIED STATEMENT (DECLARATION) CLAIMING  
SMALL ENTITY STATUS  
(37 CFR 1.9 (f) and 1.27 (c)) - SMALL BUSINESS CONCERN

I hereby declare that I am:

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to  
act on behalf of the concern identified below:

NAME OF CONCERN Ultra Information Systems LLC  
ADDRESS OF CONCERN 1101 San Antonio Road, Suite 409  
Mountain View, CA 94043

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.2, and reproduced in 37 CFR 1.9 (d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled "System and Method of Authenticating a Key and Transmitting Secure Data", by inventor Lynn Spraggs, as described in

- ☐ the specification filed herewith.  
☒ PCT application serial no. PCT/US99/24157, filed October 14, 1999.  
☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_.

81P422190  
0005 YAN 11 01915 0009 93A

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e). \*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_  
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of the Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING ASHOK MATHUR  
TITLE OF PERSON IF OTHER THAN OWNER Vice President & Co-owner  
ADDRESS OF PERSON SIGNING 1101 San Antonio Road, Suite 409  
Mountain View, CA 94043

SIGNATURE Ashok Mathur DATE 4/27/00